

Appln. No. 09/498,369
IDS filed October 27, 2004

10-29-04 3629
PATENT
Customer No. 22,852
Attorney Docket No. 7451.0010-01
Intertrust Ref. No.: 14.1 (US)



CERTIFICATE OF EXPRESS MAILING

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Signed: Mary A. McCauley

Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Victor H. SHEAR et al.

Serial No.: 09/498,369

Filed: February 4, 2000

For: METHODS FOR MATCHING,
SELECTING, NARROWCASTING,
AND/OR CLASSIFYING BASED ON
RIGHTS MANAGEMENT AND/OR
OTHER INFORMATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

)
)
) Group Art Unit: 3629

)
) Examiner: Thomas A. Dixon

)
)
) Confirmation No.: 8725

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), the Office is hereby authorized to charge the required fee of \$180.00, as specified by Section 1.17(p), to our Deposit Account No. 06-0916.

As a result of the Microsoft litigation, summaries of reference titles and copies of some of those references were provided to Applicants (See Tab 2, Exhibit A of the Final Notice Regarding Related Litigation submitted to the Office on September 15, 2004, pertaining to

11/02/2004 MBLA:CO 06000016 060916 09498369

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InterTrust Technology Corp. v. Microsoft Corp., C 01-1640 SBA, N. D. Ca). The references listed on the attached form PTO-1449 represent all available references, not yet submitted in this case, of which Applicants are aware. The listed references are provided in electronic format via two (2) CD-ROMs attached hereto.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

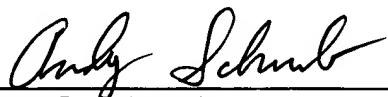
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any other fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

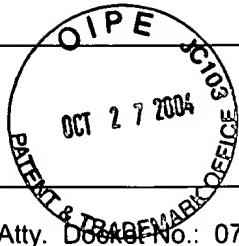
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 27, 2004

By: 
Andrew B. Schwaab
Reg. No. 38,611

Finnegan Henderson Farabow
Garrett & Dunner L.L.P.
1300 I Street, NW
Washington, D.C. 20005
(202) 408-4000



INFORMATION DISCLOSURE CITATION
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U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,790,700	2/5/1974	Callais, et al.			
	3,806,874	4/21/1974	Ehrat			
	3,845,391	10/29/1974	Crosby			
	3,946,200	3/23/1976	Juodikis			
	3,996,449	12/7/1976	Attanasio et al.			
	4,020,326	4/26/1977	Coulthurst			
	4,104,721	8/1/1978	Markstein et al.			
	4,162,483	7/24/1979	Entenman			
	4,183,085	1/8/1980	Roberts et al.			
	4,246,638	1/20/1981	Thomas			
	4,259,720	3/31/1981	Campbell			
	4,321,672	3/23/1982	Braun et al.			
	4,405,829	9/20/1983	Rivest et al.			
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	4,523,271	6/11/1985	Levien			
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	4,529,870	7/16/1985	Chaum			
	4,562,305	12/31/1985	Gaffney, Jr.			
	4,573,119	2/25/1986	Westheimer et al.			
	4,578,530	3/25/1986	Zeidler			

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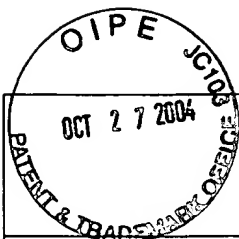
U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,584,639	4/22/1986	Hardy			
	4,590,552	5/20/1986	Gutttag et al.			
	4,593,183	6/3/1986	Fukatsu			
	4,598,288	7/1/1986	Yarbrough et al.			
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	4,609,985	9/2/1986	Dozier			
	4,621,321	11/4/1986	Boebert et al.			
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	4,622,222	11/11/1986	Horváth et al.			
	4,658,093	4/14/1987	Hellman			
	4,672,605	6/9/1987	Hustig et al.			
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	4,683,968	8/4/1987	Appelbaum et al.			
	4,700,296	10/13/1987	Palmer, Jr. et al.			
	4,727,550	2/23/1988	Chang, et al.			
	4,748,561	5/31/1988	Brown			
	4,757,914	7/19/1988	Roth et al.			
	4,780,821	10/25/1988	Crossley			
	4,796,220	1/3/1989	Wolfe			
	4,798,209	1/27/1989	Klingenbeck, et al.			

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	4,816,655	3/28/1989	Musyck et al.			
	4,864,616	9/5/1989	Pond et al.			
	4,866,769	9/12/1989	Karp			
	4,868,736	9/19/1989	Walker			
	4,888,798	12/19/1989	Earnest			
	4,893,248	1/9/1990	Pitts et al.			
	4,893,332	1/9/1990	Brown			
	4,919,545	4/24/1990	Yu			
	4,926,480	5/15/1990	Chaum			
	4,937,863	6/26/1990	Robert et al.			
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	4,962,533	10/9/1990	Krueger et al.			
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	4,975,878	12/4/1990	Boddu et al.			
	4,995,082	2/19/1991	Schnorr			
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	5,032,979	7/16/1991	Hecht et al.			
	5,058,162	10/15/1991	Santon et al.			

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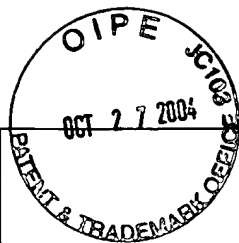
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	5,079,648	1/7/1992	Maufe			
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	5,129,084	7/7/1992	Kelly, Jr. et al.			
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	5,283,830	2/1/1994	Hinsley et al.			
	5,287,407	2/15/1994	Holmes			
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	5,349,642	9/20/1994	Kingdon			
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	5,365,587	11/15/1994	Campbell, et al.			
	5,367,621	11/22/1994	Cohen et al.			
	5,369,702	11/29/1994	Shanton			
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	5,373,440	12/13/1994	Cohen, et al.			
	5,383,113	1/17/1995	Kight et al.			

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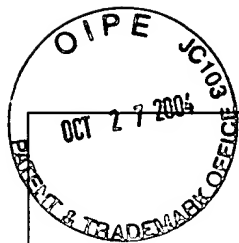
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	5,388,211	2/7/1995	Hornbuckle			
	5,390,297	2/14/1995	Barber et al.			
	5,418,713	5/23/1995	Allen			
	5,420,927	5/30/1995	Michali			
	5,432,851	7/11/1995	Scheidt et al.			
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	5,432,950	7/11/1995	Sibigroth			
	5,440,634	8/8/1995	Jones et al.			
	5,450,490	9/12/1995	Jensen et al.			
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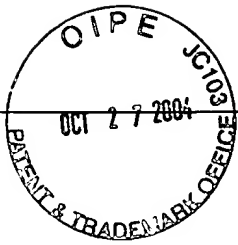
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	5,678,170	10/14/1997	Grube, et al.			
	5,689,565	11/18/1997	Spies et al.			
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	5,721,788	2/24/1998	Powell et al.			
	5,724,424	3/3/1998	Gifford			
	5,732,398	3/24/1998	Tagawa			
	5,745,678	4/28/1998	Herzberg et al.			
	5,774,872	6/30/1998	Golden, et al.			
	5,787,334	7/28/1998	Fardeau et al.			
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	5,819,263	10/6/1998	Bromley et al.			
	5,842,173	11/24/1998	Strum et al.			
	5,845,281	12/1/1998	Benson et al.			
	5,892,899	4/6/1999	Aucsmith et al.			
	5,917,912	6/29/1999	Ginter et al.			
	5,940,505	8/17/1999	Kanamaru			
	5,956,408	9/21/1999	Arnold			

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	5,966,440	10/12/1999	Hair			
	5,978,484	11/2/1999	Apperson et al.			
	6,009,170	12/28/1999	Sako et al.			
	6,102,965	8/15/2000	Dye et al.			
	6,135,646	10/24/2000	Kahn et al.			
	6,363,488	3/2/2004	Ginter et al.			
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	6,640,304	10/3/2004	Ginter et al.			
	6,658,568	12/3/2004	Ginter et al.			
	6,668,325	12/3/2004	Collberg et al.			

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Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	06-225059	8/12/1994	Japan			
	AU-A-36815/97	2/19/1998	Australia			
	AU-A-36816/97	2/19/1998	Australia			
	AU-A-36840/97	2/19/1998	Australia			

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	DE 29 43 436 A1	10/26/1979	Germany			
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	WO 98/09209	3/5/1998	PCT			
	WO 98/10381	3/12/1998	PCT			
	WO 98/37481	8/27/1998	PCT			
	WO 98/45768	10/15/1998	PCT			
	WO 99/01815	1/20/1999	PCT			
	WO 99/24928	5/23/1999	PCT			
	WO 99/48296	9/23/1999	PCT			

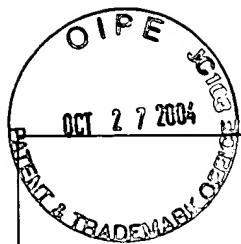
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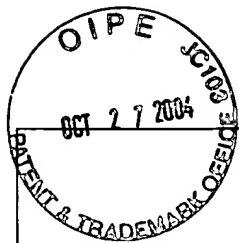
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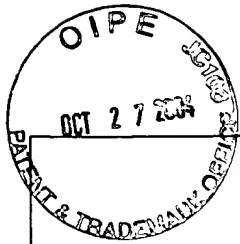
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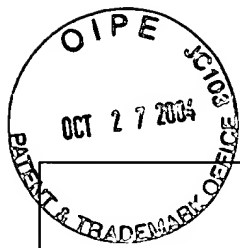
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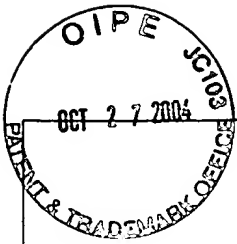
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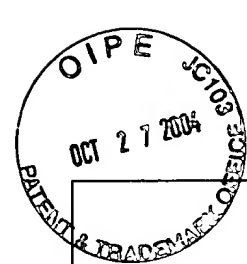


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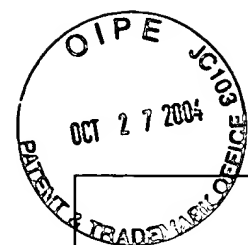
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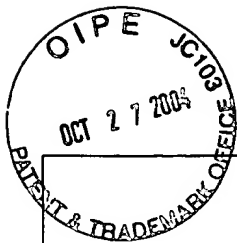
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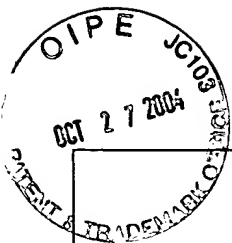
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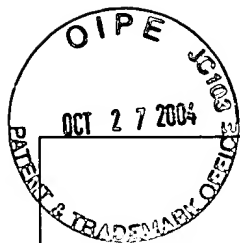
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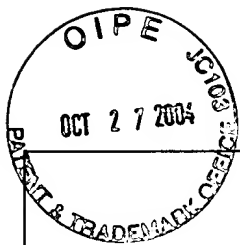
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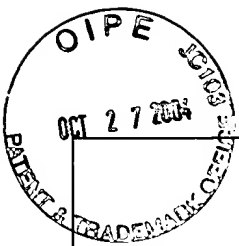
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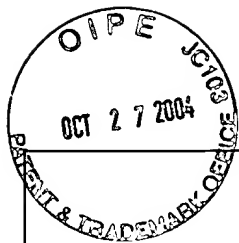
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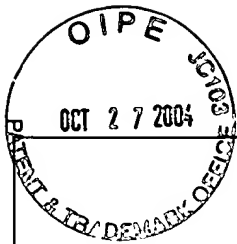
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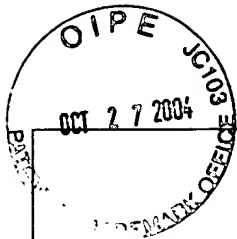
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